

# Cantor's Theorem

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## 1 Preliminary ideas

Two sets have the same number of elements (are equinumerous, or have the same cardinality) iff there is a *bijection* between the two sets.

**Mappings:** A mapping, or function, is a rule that associates elements of one set with elements of another set. We write this  $f : X \rightarrow Y$ ,  $f$  is called the function/mapping, the set  $X$  is called the domain, and  $Y$  is called the codomain. We specify what the rule is by writing  $f(x) = y$  or  $f : x \mapsto y$ .

e.g.  $X = \{1, 2, 3\}$ ,  $Y = \{2, 4, 6\}$ , the map  $f(x) = 2x$  associates each element  $x \in X$  with the element in  $Y$  that is double it.

A bijection is a mapping that is injective and surjective.<sup>1</sup>

- **Injective (one-to-one):** A function is injective if it takes each element of the domain onto *at most one* element of the codomain. It never maps *more than one* element in the domain onto *the same* element in the codomain. Formally, if  $f$  is a function between set  $X$  and set  $Y$ , then  $f$  is injective iff

$$\forall a, b \in X, f(a) = f(b) \rightarrow a = b$$

- **Surjective (onto):** A function is surjective if it maps something onto *every* element of the codomain. It can map more than one thing onto the same element in the codomain, but it needs to hit everything in the codomain. Formally, if  $f$  is a function between set  $X$  and set  $Y$ , then  $f$  is surjective iff

$$\forall y \in Y, \exists x \in X, f(x) = y$$

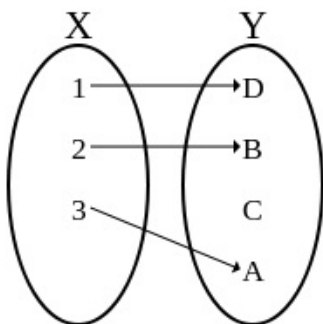


Figure 1: Injective map.

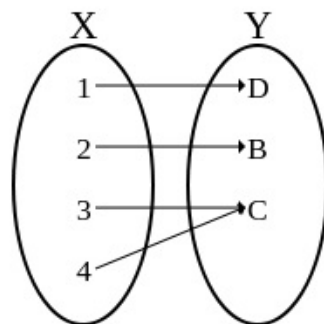


Figure 2: Surjective map.

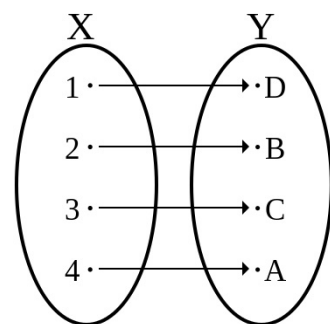


Figure 3: Bijective map.

Final piece of notation: The **image** of  $X$  under  $f$  is the set of elements in the codomain that  $f$  maps onto. It is written as  $f(X)$  and is sometimes called the range of  $f$ . e.g., In Figure 1  $f(X) = \{A, B, D\}$ .

<sup>1</sup>Images from Wikipedia.

## 2 Cantor's Theorem

For any set  $A$ , the cardinality of  $A$  is strictly less than the cardinality of  $A$ 's power set:  $|A| < |\mathcal{P}(A)|$

**Proof:** To prove this, we will show (1) that  $|A| \leq |\mathcal{P}(A)|$  and then (2) that  $\neg(|A| = |\mathcal{P}(A)|)$ . This is equivalent to the *strictly less than* phrasing in the statement of the theorem.

(1)  $|A| \leq |\mathcal{P}(A)|$ : To show this, we just need to produce a bijection between  $A$  and a subset of  $\mathcal{P}(A)$ . Then we will know  $A$  is the same size as that subset, which itself can't be bigger than the size of  $\mathcal{P}(A)$ .

Consider the set  $E = \{\{x\} : x \in A\}$ , the set of all single-element subsets of  $A$ . Clearly  $E \subset \mathcal{P}(A)$ , because it is made up of subsets of  $A$ . Incidentally, it is a proper subset, since we know it doesn't contain  $\emptyset$ . The map  $g : A \rightarrow E$  defined by  $g(x) = \{x\}$  is one-to-one and onto. How do we know this? (This is laboured, but useful to be certain that you understand!)

- **One-to-one:** Suppose we have  $x, y \in A$  and  $g(x) = g(y)$ . Then by the definition of injectiveness above, we want to be sure that this means  $x = y$ , if  $g$  is going to be one-to-one.  $g(x) = \{x\}$  and  $g(y) = \{y\}$ , so  $g(x) = g(y)$  means  $\{x\} = \{y\}$ . These two one-element sets can only be equal if their members are equal, so  $x = y$ . Therefore  $g$  is one-to-one.
- **Onto:** Is it true that  $\forall y \in E, \exists x \in A, g(x) = y$ ? Yes. We know that  $E = \{\{x\} : x \in A\}$  so  $\forall y \in E, \exists x \in A$  such that  $y = \{x\}$ . That's because each element of  $E$  just is a set with an element from  $A$  as its sole member. And since  $g(x) = \{x\}$ , we have  $\forall y \in E, \exists x \in A, g(x) = y$ , so  $g$  is surjective.

Therefore  $|A| = |E| \leq |\mathcal{P}(A)|$ .

(2)  $\neg(|A| = |\mathcal{P}(A)|)$ : To show this, we need to show that there is no bijection from  $A$  to  $\mathcal{P}(A)$ . Now we already know that there is an injective map from  $A \rightarrow \mathcal{P}(A)$ , it is just  $g$  above. (There I defined  $g : A \rightarrow E$  but since  $E \subset \mathcal{P}(A)$  we can also think of  $g$  as mapping into  $\mathcal{P}(A)$ . (Make sure you're happy with this.) So what we need to show is that there is no *surjection* from  $A$  to  $\mathcal{P}(A)$ .

Here's a general way to establish this. Let  $f : A \rightarrow \mathcal{P}(A)$  be any function. Suppose that  $f$  is surjective. We will now derive a contradiction showing that this assumption cannot be true.

Consider the set  $B = \{x \in A : \neg(x \in f(x))\}$ , the set of all those elements  $x$  in  $A$  whose image under  $f$  does not include  $x$  itself. (To give you a feel for this: if  $f$  is like the function we used above,  $g : x \mapsto \{x\}$ , then nothing would be in  $B$  because every element  $x$  ends up in its image  $g(x) = \{x\}$ .)

Clearly  $B \subset A$ , as there is nothing in  $B$  that isn't in  $A$ . So  $B \in \mathcal{P}(A)$ , by definition of the power set. If  $f$  is surjective, then it must map something onto  $B$ , i.e.  $\exists a \in A$ , such that  $f(a) = B$ .

Now the question is: is  $a \in B$ ? Suppose that it is. If  $a \in B$  then by definition of  $B$  we know  $\neg(a \in f(a))$ . But  $f(a) = B$ , so  $a$  has to be in  $f(a)$  if  $a \in B$ . This is a contradiction.

Suppose that  $\neg(a \in B)$ . Then  $a$  must fail the membership condition for  $B$ , which is  $\neg(a \in f(a))$ . So in this case  $a \in f(a)$ . But  $f(a) = B$ , so  $a$  has to be in  $B$  if  $a \in f(a)$ . This is a contradiction.

Therefore, our assumption must be wrong.  $f$  cannot be a surjection. But  $f$  was just any arbitrary function. So there is *no* bijection between  $A$  and  $\mathcal{P}(A)$ .

So,  $|A| \neq |\mathcal{P}(A)|$ . Combined with the result from (1), this concludes the proof.